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PTO/SB/21 (08-00)
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Applicant Number	09/153,133
Filing Date	09/15/1998
First Named Inventor	D. Duke Lee et al.
Group Art Unit	1615
Examiner Name	S. Sharareh
Attorney Docket Number	112430.129US2

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☒ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☒ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below)

Remarks

- Postcard; Request for Continued Examination; Marked-Up Claims Showing all Changes Relative to Previous Versions; Clean Copy of Claims as Currently Pending

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JUN 12 2002
TECH CENTER 1600/2000

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

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or
Individual name

Mary Rose Scozzafava, Ph.D.

Signature

Mary Rose Scozzafava

Date

05/21/2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

05/21/2002

Typed or printed name

Rebecca S. Tinio

Signature

Rebecca S. Tinio

Date

05/21/2002

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

1,090.00

Complete if Known

Application Number 09/153,133
Filing Date 09/15/1998
First Named Inventor D. Duke Lee et al.
Examiner Name S. Sharareh
Group Art Unit 1615
Attorney Docket No. 112430.129US

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CH CENTER 1600/290

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

08-0219

Deposit
Account
Name

Hale and Dorr LLP

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)

101 740 201 370 Utility filing fee
106 330 206 165 Design filing fee
107 510 207 255 Plant filing fee
108 740 208 370 Reissue filing fee
114 160 214 80 Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$)

0.00

2. EXTRA CLAIM FEES

Total Claims -20** = X =
Independent Claims -3** = X =
Multiple Dependent =

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20
102 84 202 42 Independent claims in excess of 3
104 280 204 140 Multiple dependent claim, if not paid
109 84 209 42 ** Reissue independent claims over original patent
110 18 210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Small
Entity Entity
Fee Fee Fee Fee
Code (\$) Code (\$) Code (\$) Code (\$)

Fee Description

Fee Paid

105 130 205 65 Surcharge - late filing fee or oath
127 50 227 25 Surcharge - late provisional filing fee or cover sheet
139 130 139 130 Non-English specification
147 2,520 147 2,520 For filing a request for ex parte reexamination
112 920* 112 920* Requesting publication of SIR prior to Examiner action
113 1,840* 113 1,840* Requesting publication of SIR after Examiner action
115 110 215 55 Extension for reply within first month
116 400 216 200 Extension for reply within second month
117 920 217 460 Extension for reply within third month
118 1,440 218 720 Extension for reply within fourth month
128 1,960 228 980 Extension for reply within fifth month.
119 320 219 160 Notice of Appeal
120 320 220 160 Filing a brief in support of an appeal
121 280 221 140 Request for oral hearing
138 1,510 138 1,510 Petition to institute a public use proceeding
140 110 240 55 Petition to revive - unavoidable
141 1,280 241 640 Petition to revive - unintentional
142 1,280 242 640 Utility issue fee (or reissue)
143 460 243 230 Design issue fee
144 620 244 310 Plant issue fee
122 130 122 130 Petitions to the Commissioner
123 50 123 50 Processing fee under 37 CFR 1.17(q)
126 180 126 180 Submission of Information Disclosure Stmt
581 40 581 40 Recording each patent assignment per property (times number of properties)
146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))
149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))
179 740 279 370 Request for Continued Examination (RCE)
169 900 169 900 Request for expedited examination of a design application

720.00

370.00

Other fee (specify)

SUBTOTAL (3)

(\$)

1090.00

SUBMITTED BY

Name (Print/Type)

Mary Rose Scozzafava, Ph.D.

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(Attorney/Agent)

36,268

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Signature

Mary Rose Scozzafava

Date

05/21/2002

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